

MAR-23-04

09:37

FROM-SAWYER LAW GROUP LLP

650-493-4549

T-278 P.003/004 F-238

Attorney Docket: CA92000001S/1852P

#14
WML

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 22, 2003.

Grace Alicea

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MAR 23 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: December 22, 2003

FINLAY, et al.

Confirmation No: 8482

Serial No.: 09/757,427

Group Art Unit: 2172

Filed: January 10, 2001

Examiner: Ly, Anh

For: QUERY EXECUTION IN QUERY PROCESSING SYSTEMS

OFFICIAL

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NOTICE OF APPEAL OF DECISION OF THE PRIMARY EXAMINER TO THE
BOARD OF PATENT APPEALS AND INTERFERENCES UNDER 37 CFR 1.191

Sir:

In the Final Office Action dated August 1, 2003, and the Advisory Action issued December 3, 2003, the Examiner has rejected claims 1-18. In response, Applicant hereby appeals to the Board the decision of the Primary Examiner. An Appellant's Brief will be filed in triplicate within two months of the date of this Notice.

The Commissioner is hereby authorized to charge the amount of \$330.00 to Deposit Account No. 09-0460 (IBM Corporation) for payment of Notice of Appeal filing fee pursuant to 37 CFR 1.17(b).

Respectfully submitted,

December 22, 2003


Joyce Tom

Sawyer Law Group LLP
Attorney for Applicant(s)
Reg. No. 48,681
(650) 493-4540

MAR-23-04 09:37

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 Palo Alto, CA 94303-3228
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 CALISTOGA, CA 94515-1701
 90-781211

6571

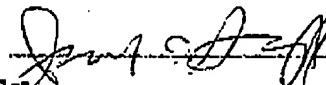
December 22, 2003

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Order of*

Commissioner of Patents and Trademarks

\$ 420.00

Four hundred twenty and 00/100

Dollars 

IBM - 2 mo. extension of time fee (1852P)

memo

006571 1211007820 0060050170

Docket No: CA9-2000-0015/1852P

Date: December 22, 2003

Serial No: 09/757,427

Filed: 1/10/2001

Inventor(s): FINLAY, et al.

Title: QUERY EXECUTION IN QUERY PROCESSING SYSTEMS

- | | |
|--|--|
| <input checked="" type="checkbox"/> Transmittal | <input checked="" type="checkbox"/> Petition for Extension-of Time |
| <input checked="" type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Part B-Issue Fee Transmittal |
| <input type="checkbox"/> Response to | <input type="checkbox"/> Letter to Draftsman |
| <input type="checkbox"/> Executed Declaration | <input type="checkbox"/> Sheets of Formal Drawings |
| <input type="checkbox"/> Assignment + Recordation Sheet | <input checked="" type="checkbox"/> Fee Payment: \$330.00+420.00 |
| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Deposit Account (IBM Corp.) |
| <input type="checkbox"/> Form 1449 with <u> </u> References | <input checked="" type="checkbox"/> Check No: 6571 |

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MAR 23 2004

OFFICIAL

FACSIMILE TRANSMITTAL

Date: March 23, 2004

To: Application Processing Dept.

Organization: USPTO

Fax Number: (703) 872-9306

Phone Number:

From: Joyce Tom

Re: Application Serial No.: 09/757,427
Notice of Appeal

To whom it may concern:

I was informed by Examiner Ahn Ly that you have not received the Notice of Appeal in this case. Attached is a copy of the transmittal form, the Notice of Appeal and check for the amount of \$420.00, which was mailed (certificate of mailing included) on December 22, 2003.

Please let me know if you have any questions or concerns.



This is page 1 of 4 pages.

CONFIDENTIALITY NOTE:

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FROM-SAWYER LAW GROUP LLP

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T-278 P.002/004 F-238

TRANS/TOTAL FORM

Attorney Docket No.

CA9-2000-0015/1852P

In re the application **FINLAY, et al.**

Confirmation No: 8482

Serial No: **09/757,427**

Group Art Unit: 2172

Filed: **January 10, 2001**Examiner: **Ly, Anh**For: **QUERY EXECUTION IN QUERY PROCESSING SYSTEMS**

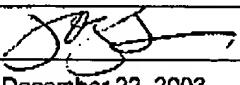
ENCLOSURES (check all that apply)					
<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group
<input type="checkbox"/>	<input type="checkbox"/> After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input checked="" type="checkbox"/>	Notice of Appeal
<input type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input type="checkbox"/>	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/>	<input type="checkbox"/> Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter
<input type="checkbox"/>	<input type="checkbox"/> (X) Copies of References	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard
<input checked="" type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input type="checkbox"/>	Other Enclosure(s) (please identify below):
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer		
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address		
<input type="checkbox"/>	Response to Missing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for <u>two (2) months</u> , from <u>November 1, 2003 to January 1, 2004</u> .			
<input type="checkbox"/>	Executed Declaration by Inventor(s)				

CLAIMS					
FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	0	0	0	\$18.00	\$ 0.00
Independent Claims	0	0	0	\$86.00	\$ 0.00
				Total Fees	\$ 0.00

METHOD OF PAYMENT

<input checked="" type="checkbox"/>	Check no. <u>6571</u> in the amount of \$ <u>420.00</u> is enclosed for payment of two (2) month extension of time fee.
<input checked="" type="checkbox"/>	Charge \$ <u>330.00</u> to Deposit Account No. <u>09-0460</u> (IBM Corporation) for payment of Notice of Appeal filing fee.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. <u>09-0460</u> (IBM Corporation)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Attorney Name	Joyce Tom, Reg. No. 48,681
Signature	
Date	December 22, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: December 22, 2003

Type or printed name	Grace Alicea
Signature	